17.0   PAROLE, PROBATION, & CORRECTIONS TRANSACTIONS

17.0　　PAROLE, PROBATION, & CORRECTIONS TRANSACTIONS

17.1　　DESCRIPTION

The parole, probation, and corrections query (PPQ, PBQ, PCQ, PAQ) transactions enable users query a subject to determine if they are on parole, probation, or some other type of correctional supervision.　Only criminal justice agencies authorized to access criminal history records are permitted to receive this data.

Nlets returns a "File Not Available" response for non-participating states as well as for states with an inability to respond manually.

See Appendix A, Section 17.0 for XML formats and examples.
See Appendix B, Section 17.0 for Native formats and examples.

17.1.1　　PPQ PARTICIPATING STATES

Participating state / Province
Non - Participating
Mexico - Non – Participating
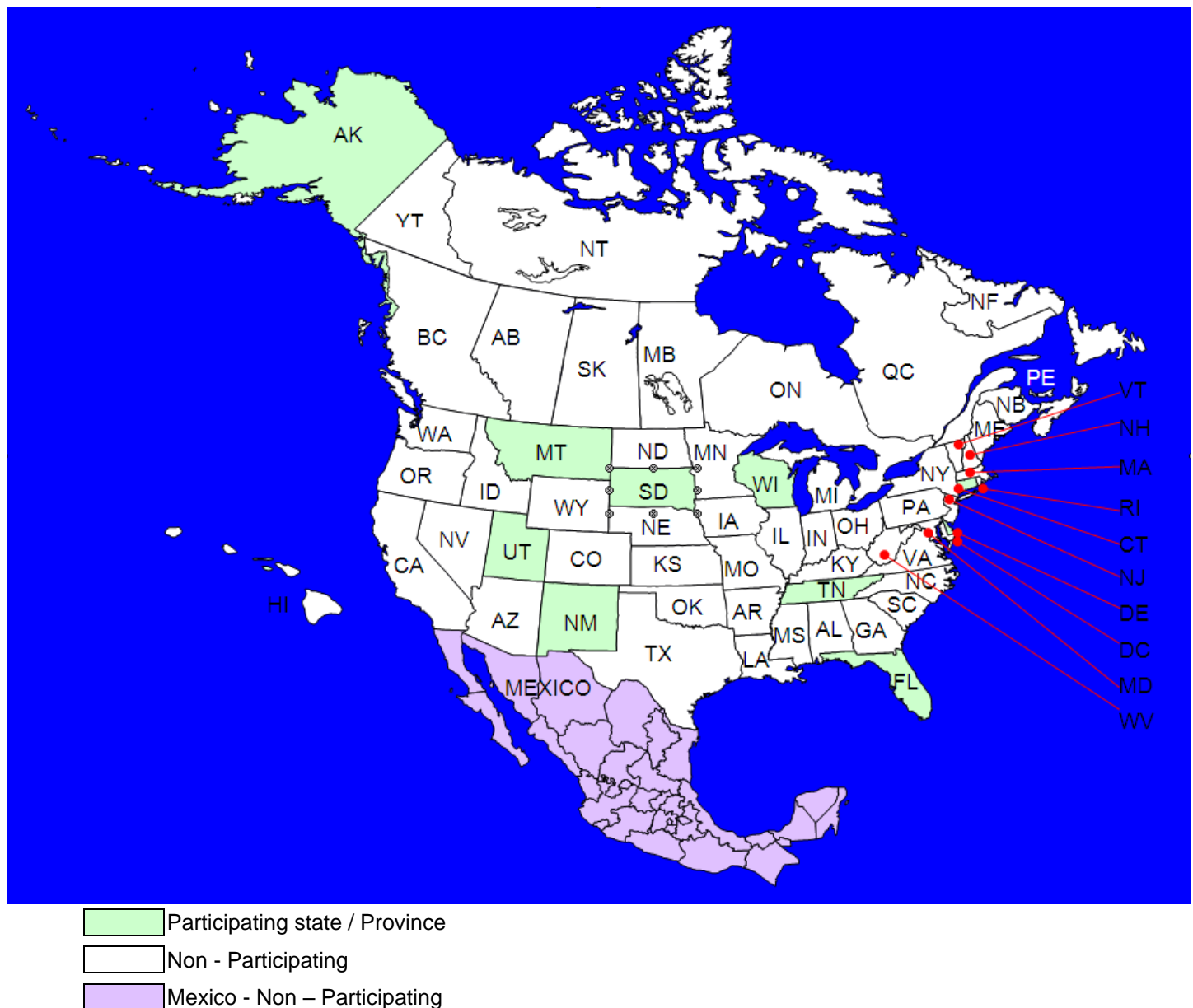
17.1.2 PBQ PARTICIPATING STATES
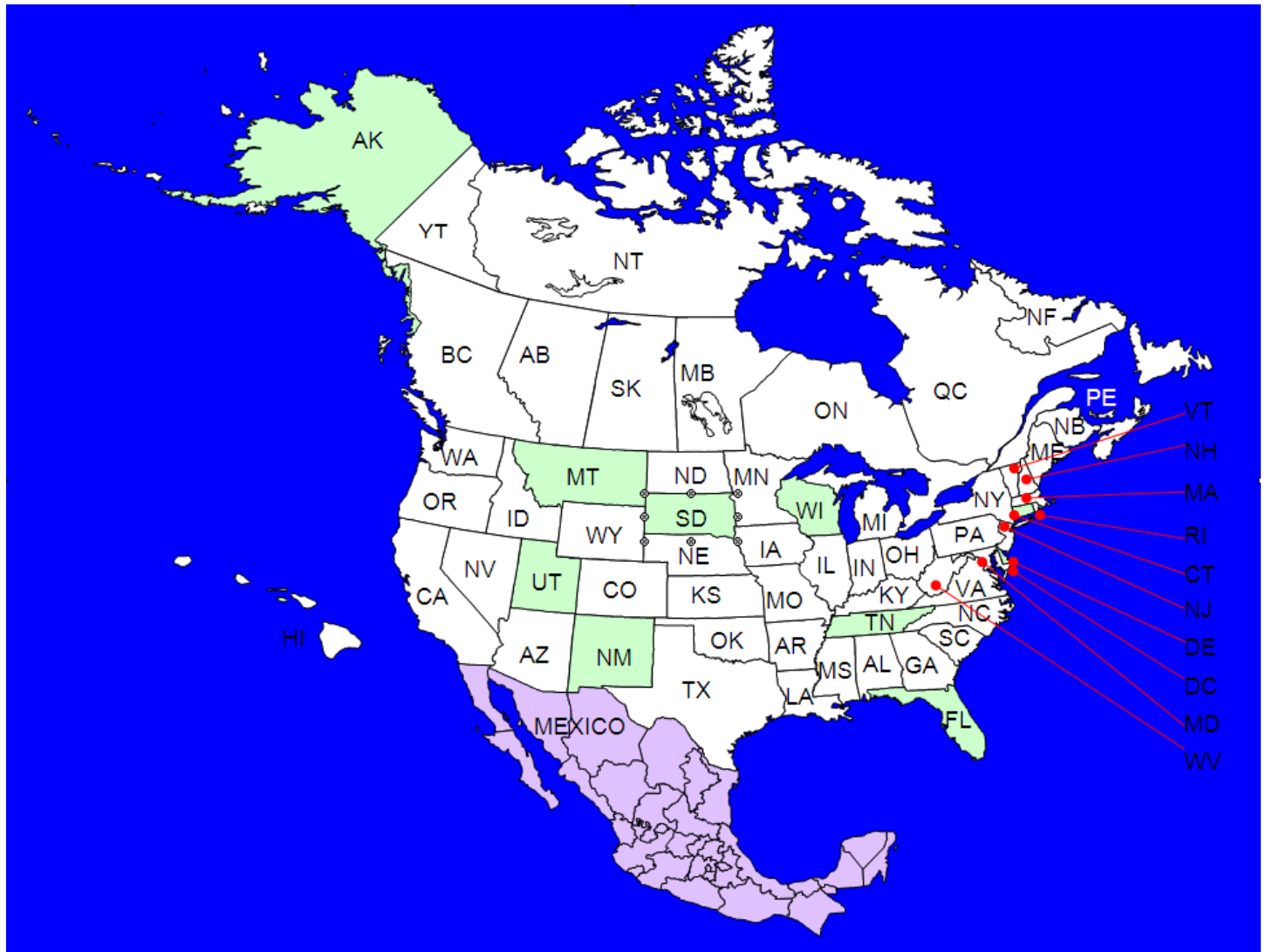
Participating state / Province

Non - Participating

Mexico - Non – Participating

Legend:
- Participating state / Province (green)
- Non - Participating (white)
- Mexico - Non – Participating (purple)
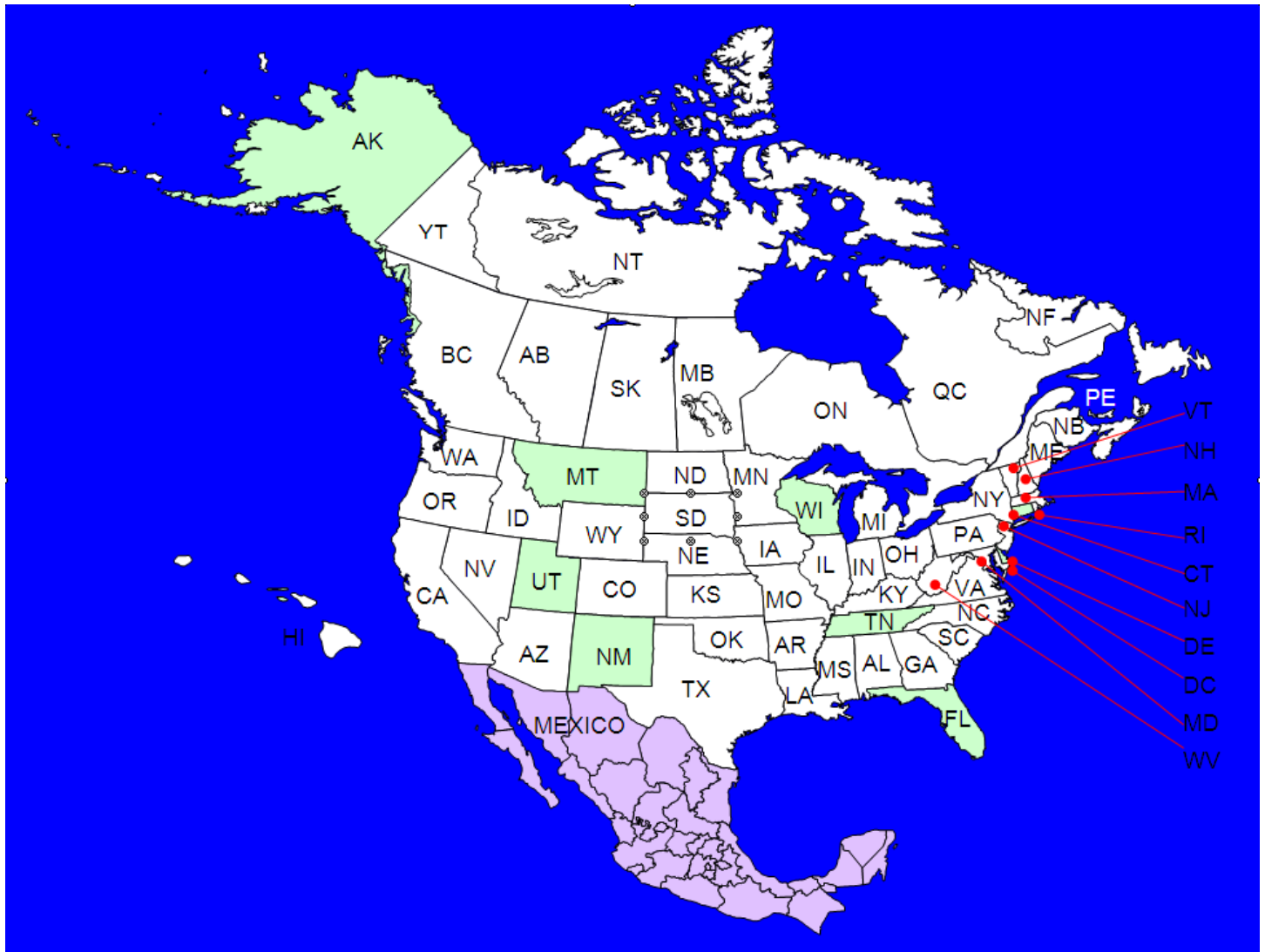
17.1.4   PAQ PARTICIPATING STATES

Participating state / Province

Non - Participating

Mexico - Non – Participating
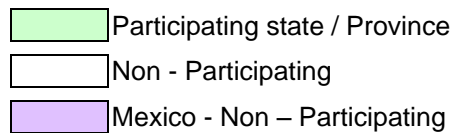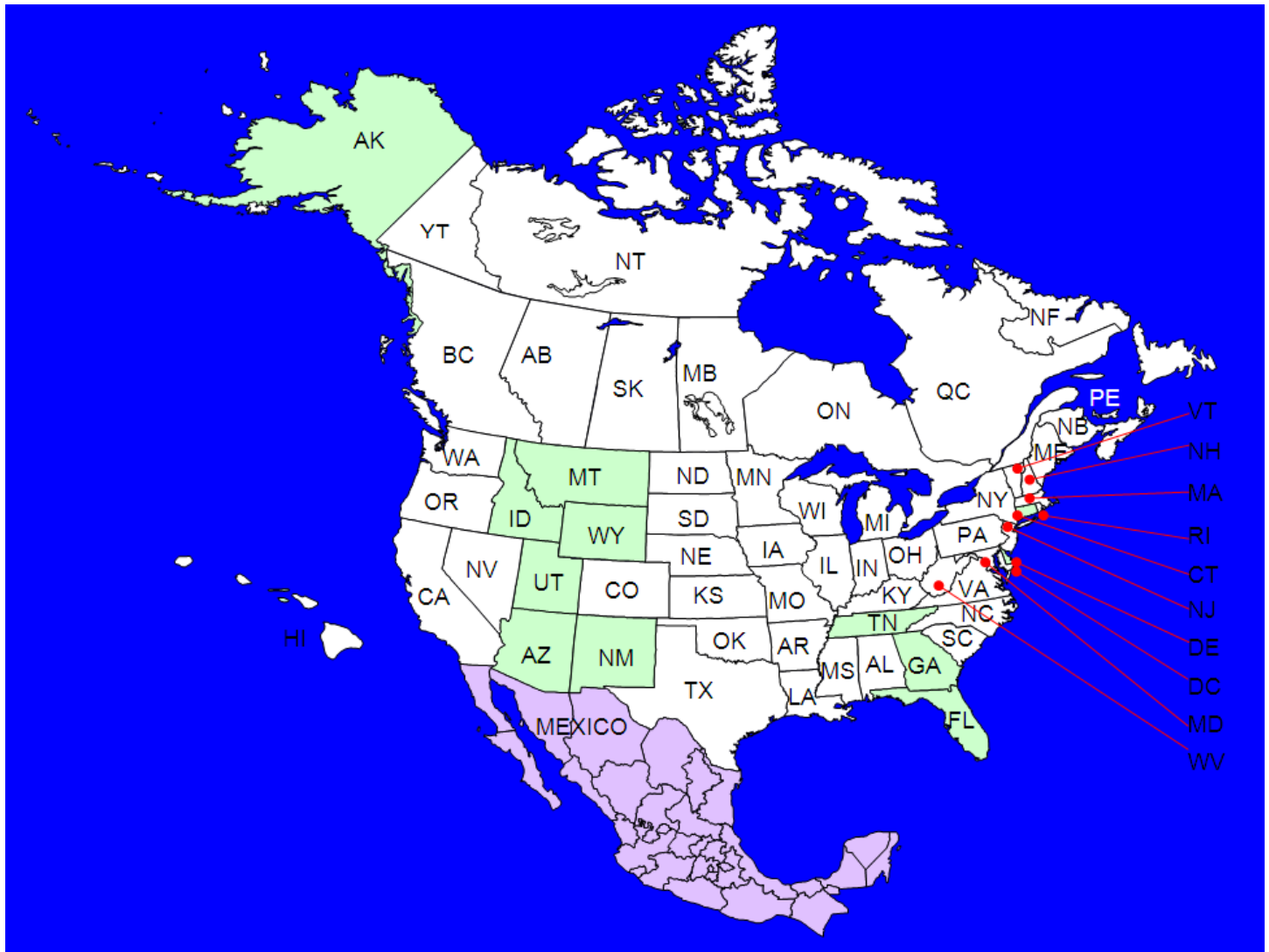
17.2   INQUIRY MESSAGE KEY

PPQ	Parole Query
PBQ	Probation Query
PCQ	Corrections Query
PAQ	Comprehensive (parole, probation, & corrections) Query

17.3   INQUIRY DESTINATION LIMITATIONS

PPQ, PBQ, PCQ, PAQ   Single two-character state/province code

17.4   DATA ELEMENTS

17.4.1   PAROLE, PROBATION, CORRECTIONS DATA ELEMENTS

Although all of these elements may be included in the query, each search element may generate a separate query, depending on the capabilities of the state.

Field	MFC
Message key	None
ORI of requesting agency	None
Destination code	None
Fixed field prefix denoting beginning of message	TXT
Attention	ATN/
Purpose	PUR/
Name	NAM/
Date of Birth	DOB/
Social Security Number	SOC/
Permit Number	PER/
State ID Number	SID/
FBI #	FBI/
Miscellaneous Number	MNU/
Image	INQ/

Some states treat this type of information as criminal history and some do not. Therefore, inclusion of the purpose code and attention fields is optional.

If a state requires the PUR and/or ATN fields but that info has been is omitted from the transaction, a reject message identifying what information is missing will be returned.

17.4.2   PPQ, PBQ, PCQ, PAQ INQUIRY FORMATS

PPQ•ORI•__•TXT•PUR/_

•ATN/_____

•IMQ/_

AND, *ONE* OF THE FOLLOWING DATA SETS

•NAM_____•DOB/_____•SEX/_

OR

•SOC/_____

OR

•SID/_____

OR

•FBI/_____

OR

•MNU/_____

Mandatory fields

Optional fields

17.4.3   INQUIRY BY NAM & DOB - EXAMPLE

```
PPQ.RO0370000.WA.TXT PUR/C.ATN/OFCR JONES.NAM/PERSON,TEST
ONE.DOB/19900105.SEX/M
```

17.4.4   INQUIRY BY SID NUMBER

```
PBQ.OR0370000.WA.TXT PUR/C.ATN/OFCR JONES.SID/CA12345678
```

17.4.5   INQUIRY BY FBI NUMBER

```
PAQ.OR0370000.WA.TXT PUR/C.ATN/OFCR JONES.FBI/RA1234567
```

17.4.6   INQUIRY BY SOC NUMBER

```
PBQ.OR0370000.WA.TXT PUR/C.ATN/OFCR JONES.SOC/123456789
```

17.4.7   INQUIRY BY MNU NUMBER

```
PBQ.OR0370000.WA.TXT PUR/C.ATN/OFCR JONES.MNU/OA-123456789012
```

17.5   INQUIRY RESPONSES

Responses to inquiries can include:

- Any or all of the following data elements:
  - NAM   Name
  - -   Address
  - DOB   Date of Birth
  - -   Description
  - SOC   Social Security number
  - -   County of registration
  - -   Date of registration
  - -   Release / Sentence date
  - -   Next of kin
  - -   Employer
  - -   Employer's address
  - OLN   Driver license number
  - OLS   Driver license state
  - OLY   Driver license expiration
  - IMG   image
- No Record

17.5.1   RESPONSE EXAMPLE

Response examples for this message key are not provided as there is no uniform response design

17.6   TECHNICAL INFORMATION

- If a state does not require the PUR and ATN fields and the Parole, Probation or Corrections inquiry includes them, the state should ignore these fields and process the inquiry normally. The state HELP files should include information on this.

- It is not required that a state provide an automated response to a Parole, Probation, or Corrections query. If a manual response is forthcoming, however, the state should generate a message notifying the inquirer of this fact.

- The Parole, Probation, and Corrections query formats include an optional image field (IMQ/). When this field is included in the inquiry with a "Y" as a value, the receiver should return an image if one is available.

17.7   MESSAGE FIELD CODE DIRECTORY

Each message transaction is comprised of multiple data elements; this section provides the necessary information on data elements for this transaction. Each data element is identified by a specific field name. Each field name is abbreviated with a unique three-character code called a message field code (MFC). The MFC's are sorted alphabetically, then followed by a plain-word description of each. The number immediately following the description indicates the maximum number of characters allowed for that data element. Below the description line is text describing specific requirements for this field.

17.7.1   ATN ATTENTION - 30
OPTIONAL

Alphanumeric. Name of the person making the request. This field is optional in this transaction ONLY if it is not required by the state to which the inquiry is made.

17.7.2   DOB DATE OF BIRTH – 8
CONDITIONAL

Numeric only. Complete date of birth of the subject of the inquiry. Must be CCYYMMDD.

- Mandatory in query by NAM, DOB, Sex
- Prohibited in all other transactions

17.7.3   FBI FBI NUMBER – 9
CONDITIONAL

Alphanumeric. A unique FBI-assigned number of the subject of inquiry.

- Mandatory in query by FBI
- Prohibited in all other transactions

17.7.4   MNU MISCELLANEOUS NUMBER – 15
CONDITIONAL

Alphanumeric. The first two characters must be a valid NCIC code from the Personal Descriptors chapter of the NCIC Code Manual. The third character must be a hyphen.

- Mandatory in query by MNU
- Prohibited in all other transactions

17.7.5   NAM NAME – 30
CONDITIONAL

Alpha only. Name of person that is the subject of query. Multiple last names, with or without spaces in between, are combined into the entered last name. Apostrophes are not entered. For last names conjugated by the letter "y", replace the "y" with a hyphen and join the names together. A single comma is required after the last name.

Hyphens are permitted in the last name only; if a first or middle name is hyphenated, replace the hyphen with a space. If that creates more names than permitted, remove the space so the two formerly hyphenated names become one.

The usage of surnames from different countries can often be confusing. For example, Hispanics generally use both their fathers and mothers last name, giving them a double last name. The first name of this double last name is generally the most important name for record check purposes. If one does not know how to place these names in the name fields on inquiries, misses could occur.

Below is a short explanation of the construction of names often checked with INS indices.

- Mandatory in query by NAM, DOB, Sex
- Prohibited in all other transactions

HISPANIC FIRST NAMES:

Many Spanish first names consist of more than one word, for example, Maria de Los Angeles, Maria de la Luz, and Maria del Carmen.

When written with a prepositional phrase, the name should be treated as one first name.

If the name is not recorded with a prepositional phrase, for example, Maria Luz or Maria Carmen, it should be considered first and middle names.

HISPANIC SURNAMES

Spanish and Hispanic persons customarily use the surnames of both parents.

This double surname is derived from the first surname of the father and the first surname of the mother. Neither name is considered a middle name. The surname of the father precedes that of the mother.

The two surnames may be connected by the word "y", which means "and." For example, Juan Gomez y Conde has Juan as a first name, Gomez as the surname of the father, and Conde as the surname of the mother.

For recording purposes, all double last names are listed with the father's surname followed by the mother's surname. Juan Gomez y Conde would be recorded as Juan Gomez Conde.

The nationals of some South American countries do not, as a general rule, use both last names. If the subject comes from a country that uses both last names, but the subject only uses one, and it is his mother's surname, this will often indicate that the subject's parents were not married.

HISPANIC MARRIED NAMES FOR WOMEN

When she marries, a woman commonly drops the surname of her mother and adds the first surname of her husband, preceded by the preposition "de." This indicates she is the "wife of" that man.

Maria Gomez Garcia, when married to Juan Martinez Ramirez, would become Maria Gomez de Martinez and will be recorded as Gomez de Martinez, Maria.

Note that according to traditional Hispanic usage the woman's surname never changes.

After admission to the United States, however, some women have adopted the American custom of using the husband's surname as their own. Maria Gomez de Martinez may begin to give her name as Martinez, Maria Gomez.

ASIAN (CHINESE) NAMES

When a sequence of two, three or four names appear, no part of which can be recognized as the first name, middle name or surname, the first part only is identified as the surname and the next parts used as the first name and middle name.

When one of more names follows the surname, use only the first as the surname.

Sometimes the surname will be in capital letters and the other names will be connected by a hyphen. In such cases you may find the surname written either before or after the other names.

Name	Surname
Low Tai Yat	Low
Harry Yat Bun Sing	Yat
Soong Mei Ling	Soong

MIDDLE EASTERN NAMES

When a sequence of two, three or four names appear, no part of which can be recognized as the first name, middle name or surname, the last part only is identified as the surname and the first part used as the first name.

Name	Surname
Mohammed Ali Jafir	Jafir

When a surname is preceded by an article such as "El" or "Al", it is considered to be part of the surname.

Name	Surname
Yousef Ben El Gazar	El Gazar

Name format:

LAST, FIRST MIDDLE OTHER

Examples:

Subject has an other name:

Mr. Reginald Charles Hogworthy III

HOGWORTHY, REGINALD CHARLES III

The subject's last name is hyphenated or the letter y conjugates last names:

Natalie Spencer-Windsor

SPENCER-WINDSOR, NATALIE

Jennifer M. Gomez y Garcia

GOMEZ-GARCIA, JENNIFER M

John Franklin al-Muhammad y Jones Jr.

AL-MUHAMMAD-JONES, JOHN FRANKLIN JR

Dr. Jeffrey Jay van de Muhammad Al-Akbariah

VANDEMUHAMMADAL-AKBARIAH, JEFFREY JAY

The last name is a compound name without a hyphen or the letter y:

James F. FitzMaurice III

FITZMAURICE, JAMES F III

Mrs. Alice Fay McDonahue

MCDONAHUE, ALICE FAY

Jean Pierre Michael d'Lessandro

DLESSANDRO, JEAN PIERRE MICHAEL

Mr. Anthony Mark Van de Swelte

VANDESWELTE, ANTHONY MARK

Ms. Christina Michelle Jones O'Connor

JONESOCONNOR, CHRISTINA MICHELLE

The first name is compound:

Billy Jack Harry Coe

COE, BILLY JACK HARRY

Velda-Mae Cook

COOK, VELDA MAE

Mary-Jo Ashley Heather McMahan

MCMAHAN, MARYJO ASHLEY HEATHER

Joanne Hurt

HURT, JOANNE

Jo Ann Okilahoe

OKILAHOE, JO ANN

The first or middle name is an initial:

Mr. T. S. Elliot

ELLIOT, T S

Dr. Thornton M. Cogswell

COGSWELL, THORNTON M

17.7.6 PUR PURPOSE CODE - 1
OPTIONAL

Alpha only. Identifies the purpose of the request. This field is optional in this transaction ONLY if it is not required by the state to which the inquiry is made.

CODE	PURPOSE
C	Must be used when the Sex Offender Registration Query (SOQ) is for official duties in connection with the administration of criminal justice.
E	Must be used for employment and licensing.
J	Must be used when the Sex Offender Registration Query (SOQ) involves employment with a criminal justice agency or the screening of employees of other agencies over which the criminal justice agency is required to have management control. Criminal justice employment has been separated from other criminal justice purposes due to the requirement of some state agencies.
F	Must be used by criminal justice agencies in all states for screening applications for firearms and related permits. This includes firearms dealers, firearms purchases, carriers of concealed weapons, explosive dealers and users, and lethal weapons dealers and users, but only when a Federal, state or local law/ordinance exists making the criminal justice agency responsible for the issuance of the licenses/permits.
D	Must be used by Courts when hearing civil domestic violence or stalking cases. This purpose code shall not allow access to State sealed records.
S	Must be used by Defense Investigative Service and any other SCIA agencies authorized specifically by Nlets to access CHRI through the network using IQ, FQ, and AQ.

17.7.7 SEX GENDER - 1
CONDITIONAL

Alpha only. Name of person that is the subject of query. Gender of the subject of the inquiry. Must be "M" for male, "F" for female, "U" for unknown.

- Mandatory in query by NAM, DOB, Sex
- Prohibited in all other transactions

17.7.8   SID   STATE IDENTIFICATION NUMBER - 10
CONDITIONAL

Alphanumeric. Name of person that is the subject of query A unique number assigned to the subject by a state identification bureau based upon positive identification from a fingerprint-based check.

- Mandatory in query by SID
- Prohibited in all other transactions

17.7.9   SOC SOCIAL SECURITY NUMBER - 9
CONDITIONAL

Numeric only.   The nine digit social security number of the subject of the inquiry.

- Mandatory in query by NAM, DOB, Sex
- Prohibited in all other transactions